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## NEWS RELEASE

For Immediate Release

**April 17, 2017** 

## DEPARTMENT OF FINANCE SUES RECIDIVIST TO CEASE VIOLATIONS

## Idahoans Warned About Investment Scheme Run by Man of Many Names

Boise, Idaho . . . The Idaho Department of Finance today announced a securities civil complaint against Richard F. "Rick" Guyon, an individual currently under the supervision of the U.S. correctional system. Guyon operates as or on behalf of DBAs or entities named in the lawsuit including, Thompson Enterprises Holding Group, LLC; Thompson Enterprises, LTD; and Thompson Capital Management.

The six count complaint alleges that Guyon raised over \$2 million from Idaho investors and violated state securities law by a) engaging in a scheme or artifice to defraud, b) making false and misleading statements, c) engaging in an unlawful conversion of investor funds, d) selling unregistered securities, and e) failing to register as required by Idaho law. The complaint indicates that other parties may have materially aided Guyon in his scheme and they may be named in the lawsuit at a later date.

According to the complaint, Guyon previously was convicted of federal financial crimes including bank fraud, and was released from prison in May 2015. Guyon is believed to have used aliases in Idaho and elsewhere including Rick Garrison, Rich Thompson, Mark Thompson and Michael Banks.

The Department of Finance lawsuit seeks an injunction from further violations, restitution to affected Idaho investors and other restrictions on Guyon's future business practices. Idahoans should be advised that Guyon is not legally authorized to offer or sell securities investments or to otherwise manage the money of Idaho investors. Investors who have given Guyon or his entities or associates money, or who have been approached by Guyon, are asked to contact the Department of Finance at (208) 332-8004 or Idaho toll-free at (888) 346-3378. A copy of the lawsuit can be found at <a href="http://www.finance.idaho.gov/Securities/Actions/Civil/Guyon/Complaint Guyon.pdf">http://www.finance.idaho.gov/Securities/Actions/Civil/Guyon/Complaint Guyon.pdf</a> or on the Department's website at <a href="http://www.finance.idaho.gov/under">http://www.finance.idaho.gov/under</a> "civil actions."

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The Idaho Department of Finance reminds all investors that a legitimate securities salesperson must be properly licensed, and his or her firm must be registered with FINRA, the Securities and Exchange Commission, or a state securities regulator. Verify that your securities salesperson is properly licensed by contacting the Department at (208) 332-8004 or toll-free at (888) 346-3378. Press releases and a copy of the civil complaint can be found on the Internet at http://finance.idaho.gov or by contacting the Department.