



IDAHO DEPARTMENT OF FINANCE
Securities Bureau
800 Park Blvd., Suite 200, Boise, ID 83712
P.O. Box 83720, Boise, ID 83720-0031
Website: finance.idaho.gov
Fax: 208/332-8099
208/332-8004

CHECKLIST FOR NOTICE FILING
OF MUTUAL FUNDS AND UNIT INVESTMENT TRUSTS

The following must be submitted directly to the Department of Finance, Securities Bureau for initial notice filing:

1. Form NF
2. Consent to Service of Process (Form U-2)
3. Fees: Mutual Fund - \$300.00 per portfolio
Unit Investment Trust - \$100.00
(check or money order payable to the Idaho Department of Finance)

Issuers are required to renew their notice filings if they intend to continue selling securities to Idaho residents after the initial notice filing period. A notice filing is effective for 1 year commencing on the later of the notice filing or the effectiveness of the offering filed with the Securities and Exchange Commission.

The following must be submitted directly to the Department of Finance, Securities Bureau for notice filing renewal before the initial notice filing expiration:

1. Form NF.
2. Filing fee for each Notice of Acknowledgment renewal (same as initial filing fees)

Only amendments required:

1. Form NF or letter notifying us of name change
2. Form NF or letter notifying us of change of correspondent's address
3. Form NF or letter of termination upon completion of offering in Idaho
4. Material changes to Form U-2

[SEE: Idaho Code § 30-14-302 and Rule 53.01 pursuant to the Uniform Securities Act (2004)]